## PUBLIC FUNDING GUIDEBOOK CANDIDATE COMMITTEES



State of Hawaii Campaign Spending Commission

February, 2004

# PUBLIC FUNDING GUIDEBOOK CANDIDATE COMMITTEES

This guidebook is provided as a reference and informational source. It should not be construed to constitute legal advice or authority. Readers should consult the Hawaii Revised Statutes and other sources for a complete and legal basis of the law or seek assistance from the Commission.

#### INTRODUCTION

Hawaii Revised Statutes ("HRS") section 11-217, created the Hawaii Election Campaign Fund to encourage a greater number of candidates to participate in the election process. The intent of the program is to provide a neutral source of funds for candidates to minimize their need to ask private donors to fund their campaigns. It is also intended to equalize the playing field by giving new and under funded candidates an opportunity to communicate their views and positions to the voters and to compete with established candidates.

It is hoped that partial public financing will reduce the influence of special interest and the fundraising advantage of established candidates. Legislative changes over the past few years have had a positive impact on the number of candidates that participate in the program.

The Campaign Spending Commission has prepared this guidebook to assist candidates who choose to participate in the public financing of their campaign. Every effort is made to detail the requirements and answer most questions.

If there are any questions regarding the application and reporting requirements, contact our office.

Campaign Spending Commission Leiopapa A Kamehameha Building 235 South Beretania Street, Room 300 Honolulu, Hawaii 96813

> Telephone: (808) 586-0285 Fax: (808) 586-0288

Website: www.hawaii.gov/campaign

### **PUBLIC FUND CHECKLIST**

This checklist is provided to assure that all the necessary campaign spending forms have been filed and the nomination requirements have been addressed before an application for public funds is filed. For details refer to the "Public Funding Guidebook".

File Statement of Intent to Seek Qualifying Campaign Contributions (Form CC-4)
File Affidavit of Compliance with Voluntary Campaign Expenditure Limits (Form CC-2)
File Nomination Papers with Office of Elections
Qualified Opponent filed Nomination Papers with Office of Elections
Prepare and File Application for Public Funds

### **TABLE OF CONTENTS**

INTRODUC	TION		-	i				
PUBLIC FU	ND CHECKLIST			ii				
Chapter I	REQUIREMENTS FOR PUBLIC FUNDS							
	Statement of Intent to Seek Qualifying Campa Contributions	ign		1				
	Campaign Expenditure Limits			1				
	Qualified Opponent	-		1				
	Minimum Qualifying Campaign Contributions		-	2				
	Maximum Qualifying Campaign Contributions		-	2				
	Matching Payment Period	-	•	3				
Chapter II	APPLICATION FOR and RECEIPT OF PUBLIC FUNDS							
	Requirements Before an Application is Filed			4				
	Filing an Application.	-	•	4				
	Receipt of Public Funds	-	•	5				
Chapter III	REPORTING OF PUBLIC FUNDS .	-	•	6				
Chapter IV	PENALTIES, EXAMINATION and AUDIT		_	7				

### CHAPTER I REQUIREMENT FOR PUBLIC FUNDS

### Statement of Intent of Seek Qualifying Campaign Contributions

If a candidate intends to apply for public funds, before receiving any qualifying campaign contributions the Statement of Intent to Seek Qualifying Campaign Contributions ("Statement of Intent") (Form CC-4) must be filed. The primary and general matching payment period begins January 1 of the election year. The Statement of Intent may be filed at the beginning of or prior to the election year. Any contributions received before the filing of the Statement of Intent will not be considered qualifying campaign contributions.

### **Campaign Expenditure Limits**

Any candidate seeking to apply for public funds must agree to limit their campaign expenditures by filing the **Affidavit of Compliance with Voluntary Campaign Expenditure**Limits ("Affidavit") (Form CC-2). The last day to file the Affidavit is the day your nomination papers are filed with the chief election officer or county clerk. Please note that once the Affidavit has been filed it cannot be withdrawn.

Expenditure limits differ for the office sought as determined by the number of voters in the last preceding general election registered to vote in each voting district. The number of registered voters is multiplied by the amount designated in section 11-209, HRS as follows:

Governor	\$2.50
Lieutenant Governor	\$1.40
Mayor	\$2.00
State Senator and House of Representative	\$1.40
County Council	\$1.40
Prosecuting Attorney	\$1.40
Board of Education and All Other Offices	\$0.20

#### **Qualified Opponent**

To be eligible to receive public funds, a candidate and at least one other qualified candidate for the same elective seat must have their names on the election ballot in the election for which public funds are sought. A primary, special and general election are considered distinct elections for purposes of qualifying for public funds. Opponent as used here means that there must be at least two individuals running for the same seat in the same race. For example, there are two Democrats seeking the nomination for the 1<sup>st</sup> House district.

### STATEMENT OF INTENT TO SEEK **QUALIFYING CAMPAIGN CONTRIBUTIONS**

	1. CANDIDATE AND CANDIDATE COMMITTEE:	2. Office Sought:
	(a) Candidate Name:	<b>3.</b> District/County (if applicable):/
		4. Minimum Qualifying Campaign Contribution Amount for Each Election
	(b) Committee Name:	(See the back of this form for this amount): \$
	(c) Mailing Address:	5. Maximum Available Amount of Public Funds for Each Election  (See the back of this form for this amount): \$
	ereby notify the Campaign Spending Commission ("Commission" eiving public funds to defray certain campaign expenses. As a co	r) of my intent to seek qualifying campaign contributions for the purpose of indition of receiving public funds for each election, I agree:
1.	To comply with the voluntary campaign expenditure limit for ear Revised Statutes ("HRS");	ach election established for my respective office under Section 11-209, Hawaii
2.		ion amount" for my respective office for each election as provided above and in efined as a monetary contribution of \$100 or less. Qualifying contributions do
3.	That contributions received prior to the filing of this form do no	t count as qualifying campaign contributions;
4.	That contributions considered as qualifying campaign contribut	ions must be received during the matching payment period involved:
	<ul> <li>For a primary election, from January 1 of the year of a gen special election through the day of a special election; and</li> </ul>	eral election through the day of the primary election, or nine months prior to a
	• For a general election, from January 1 of the year of a gene	eral election through the day of the general election.
	A condidate shall establish a condition a commain constribution t	
	A candidate shall attribute a qualifying campaign contribution to	o a primary, special or general election;
5.	That myself and at least one other qualified candidate for the sai	me elective seat must have their names on the election ballot in the same
	That myself and at least one other qualified candidate for the same election. A primary, special and general election are considered	me elective seat must have their names on the election ballot in the same las distinct elections;
6.	That myself and at least one other qualified candidate for the sate election. A primary, special and general election are considered. To obtain and furnish to the Commission any evidence of my care.	me elective seat must have their names on the election ballot in the same a distinct elections; ampaign expenses which the Commission may request;
5. 6. 7. 8.	That myself and at least one other qualified candidate for the same election. A primary, special and general election are considered. To obtain and furnish to the Commission any evidence of my car. To keep and furnish records, books, and other information which	me elective seat must have their names on the election ballot in the same a distinct elections; ampaign expenses which the Commission may request;

Date

Candidate Signature

### **2004 PUBLIC FUND AMOUNTS**

To complete lines 4 and 5, please find your respective office and applicable amounts. After you find the amounts, transfer them to the blank spaces provided for lines 4 and 5.

STATE HOUSE

**HAWAII** 

**MINIMUM QUALIFYING AMOUNTS (FOR LINE 4)** 

**DISTRICT 6** 

DISTRICT 7

**DISTRICT 8** 

**DISTRICT 9** 

\$

\$

\$

9,065

6,288

9,422

8,995

DISTRICT 22

DISTRICT 23

DISTRICT 24

**DISTRICT 25** 

\$

\$

\$

4,237

4,556

5,124

5,205

DISTRICT 48

DISTRICT 49

DISTRICT 50

DISTRICT 51

\$

\$

\$

\$

2,666

2,661

2,771

2,290

			DISTRICT 1	\$	1,796	DISTRICT 1	\$	2,278
GOVERNOR	\$	100,000	DISTRICT 2	\$	1,748	DISTRICT 2	\$	2,282
LT. GOVERNOR	\$	50,000	DISTRICT 3	\$	1,844	DISTRICT 3	\$	2,518
MAYOR	•	,	DISTRICT 4	\$	1,641	DISTRICT 4	\$	1,980
HONOLULU	\$	50,000	DISTRICT 5	\$	1,517	DISTRICT 5	\$	2,160
HAWAII	\$	15,000	DISTRICT 6	\$	1,609	DISTRICT 6	\$	2,131
MAUI	\$	10,000	DISTRICT 7	\$	1,830	DISTRICT 7	\$	2,182
KAUAI	\$	5,000	DISTRICT 8	\$	1,751	DISTRICT 8	\$	2,129
			DISTRICT 9	\$	1,794	DISTRICT 9	\$	2,200
COUNTY COUNCIL						DISTRICT 10	\$	1,920
HONOLULU	\$	5,000	MAUI	\$	13,325	DISTRICT 11	\$	2,107
HAWAII	\$	1,500	KAUAI	\$	6,703	DISTRICT 12	\$	2,527
MAUI	\$	5,000				DISTRICT 13	\$	2,442
KAUAI	\$	3,000	PROSECUTING ATTORNEY			DISTRICT 14	\$	2,158
			HONOLULU	\$	80,184	DISTRICT 15	\$	2,264
PROSECUTING ATTORNEY			HAWAII	\$	15,531	DISTRICT 16	\$	2,281
HONOLULU	\$	30,000	KAUAI	\$	6,703	DISTRICT 17	\$	2,912
HAWAII	\$	10,000				DISTRICT 18	\$	2,826
KAUAI	\$	5,000	BOE			DISTRICT 19	\$	2,864
			DISTRICT 1 (OAHU)	\$	50	DISTRICT 20	\$	2,562
BOE	\$	500	DISTRICT 2	\$	50	DISTRICT 21	\$	2,377
						DISTRICT 22	\$	1,826
OHA (GENERAL ONLY)	\$	500	OHA (GENERAL ONLY)	\$	100	DISTRICT 23	\$	1,858
						DISTRICT 24	\$	2,641
STATE SENATE	\$	2,500	STATE SENATE			DISTRICT 25	\$	2,281
						DISTRICT 26	\$	2,628
STATE HOUSE	\$	1,500	DISTRICT 1	\$	5,459	DISTRICT 27	\$	2,252
			DISTRICT 2	\$	5,039	DISTRICT 28	\$	1,896
MAXIMUM AVAILABLE AMOU	INTS (FOR	LINE 5)	DISTRICT 3	\$	5,032	DISTRICT 29	\$	1,802
			DISTRICT 4	\$	4,329	DISTRICT 30	\$	1,889
GOVERNOR	\$	137,789	DISTRICT 5	\$	4,027	DISTRICT 31	\$	2,225
			DISTRICT 6	\$	4,969	DISTRICT 32	\$	2,246
LT. GOVERNOR	\$	77,162	DISTRICT 7	\$	6,703	DISTRICT 33	\$	2,262
			DISTRICT 8	\$	5,942	DISTRICT 34	\$	2,339
MAYOR			DISTRICT 9	\$	5,345	DISTRICT 35	\$	2,112
HONOLULU	\$	76,366	DISTRICT 10	\$	4,469	DISTRICT 36	\$	2,462
HAWAII	\$	14,791	DISTRICT 11	\$	4,273	DISTRICT 37	\$	2,549
MAUI	\$	12,690	DISTRICT 12	\$	3,725	DISTRICT 38	\$	2,646
KAUAI	\$	6,384	DISTRICT 13	\$	4,675	DISTRICT 39	\$	2,134
			DISTRICT 14	\$	3,883	DISTRICT 40	\$	2,226
COUNTY COUNCIL			DISTRICT 15	\$	4,070	DISTRICT 41	\$	2,003
HONOLULU			DISTRICT 16	\$	5,302	DISTRICT 42	\$	1,656
DISTRICT 1	\$	7,615	DISTRICT 17	\$	4,769	DISTRICT 43	\$	2,115
DISTRICT 2	\$	7,883	DISTRICT 18	\$	3,814	DISTRICT 44	\$	1,763
DISTRICT 3	\$	10,521	DISTRICT 19	\$	3,994	DISTRICT 45	\$	1,702
DISTRICT 4	\$	11,184	DISTRICT 20	\$	3,496	DISTRICT 46	\$	2,201
DISTRICT 5	\$	9,210	DISTRICT 21	\$	3,304	DISTRICT 47	\$	2,541
DISTRICT	_	0.005	DIOTRIOT OO	•	4 00-	DIOTOLOT 40	<b>*</b>	



### AFFIDAVIT OF COMPLIANCE WITH VOLUNTARY CAMPAIGN EXPENDITURE LIMITS

I _	, being first duly sworn, on oath deposes and says:
	Candidate Name (Print)
1.	That (s)he is the affiant herein;
2.	That affiant is a candidate running for the Office of
	District/County (if applicable);
3.	That affiant voluntarily agrees to comply with the expenditure limit set for this respective office as follows:
	(OHA candidates skip to line 3(b). All other candidates please see the back of this form to complete line 3(a).)
	(a) The expenditure limit is for <u>each</u> election. The expenditure limit
	period for the Primary/1st Special Election is from January 1, 2004 through September 18, 2004, and the
	General/2 <sup>nd</sup> Special Election period is from September 19, 2004 through November 2, 2004;
	(b) That affiant voluntarily agrees to comply with the expenditure limit set for the Office of Hawaiian Affairs (OHA). The expenditure limit is \$110,231 beginning from January 1, 2004 through
	November 2, 2004, for the General Election;
4.	That affiant has read the affidavit and knows the contents thereof;
5.	That the said affidavit is true to the best of affiant's knowledge and belief.
Car	ndidate Signature Date
Sul	oscribed and sworn to before me
Th	is day of, 20
No	tary Public, State of Hawaii
Му	commission expires:

### 2004 VOLUNTARY CAMPAIGN EXPENDITURE LIMITS

To complete line 3(a), please find your respective office and applicable expenditure limit. After you find the expenditure limit, transfer it to the blank space provided on line 3(a).

GOVERNOR	\$	1,377,890	STATE SENATE		STATE HOUSE	
oo i ziiii o ii	*	.,0,000	07/112 02/17/12		DISTRICT 1	\$ 15,184
LT. GOVERNOR	\$	771,618	DISTRICT 1	\$ 36,396	DISTRICT 2	\$ 15,214
			DISTRICT 2	\$ 33,594	DISTRICT 3	\$ 16,787
MAYOR			DISTRICT 3	\$ 33,548	DISTRICT 4	\$ 13,201
HONOLULU	\$	763,656	DISTRICT 4	\$ 28,861	DISTRICT 5	\$ 14,403
HAWAII	\$	147,912	DISTRICT 5	\$ 26,846	DISTRICT 6	\$ 14,204
MAUI	\$	126,902	DISTRICT 6	\$ 33,124	DISTRICT 7	\$ 14,545
KAUAI	\$	63,842	DISTRICT 7	\$ 44,689	DISTRICT 8	\$ 14,196
			DISTRICT 8	\$ 39,612	DISTRICT 9	\$ 14,665
COUNTY COUNCIL			DISTRICT 9	\$ 35,636	DISTRICT 10	\$ 12,797
HONOLULU			DISTRICT 10	\$ 29,796	DISTRICT 11	\$ 14,049
DISTRICT 1	\$	50,767	DISTRICT 11	\$ 28,484	DISTRICT 12	\$ 16,846
DISTRICT 2	\$	52,555	DISTRICT 12	\$ 24,835	DISTRICT 13	\$ 16,278
DISTRICT 3	\$	70,140	DISTRICT 13	\$ 31,170	DISTRICT 14	\$ 14,385
DISTRICT 4	\$	74,563	DISTRICT 14	\$ 25,886	DISTRICT 15	\$ 15,095
DISTRICT 5	\$	61,398	DISTRICT 15	\$ 27,133	DISTRICT 16	\$ 15,210
DISTRICT 6	\$	60,435	DISTRICT 16	\$ 35,349	DISTRICT 17	\$ 19,415
DISTRICT 7	\$	41,923	DISTRICT 17	\$ 31,794	DISTRICT 18	\$ 18,840
DISTRICT 8	\$	62,812	DISTRICT 18	\$ 25,430	DISTRICT 19	\$ 19,092
DISTRICT 9	\$	59,966	DISTRICT 19	\$ 26,628	DISTRICT 20	\$ 17,079
			DISTRICT 20	\$ 23,306	DISTRICT 21	\$ 15,844
HAWAII			DISTRICT 21	\$ 22,025	DISTRICT 22	\$ 12,172
DISTRICT 1	\$	11,976	DISTRICT 22	\$ 28,249	DISTRICT 23	\$ 12,386
DISTRICT 2	\$	11,654	DISTRICT 23	\$ 30,372	DISTRICT 24	\$ 17,608
DISTRICT 3	\$	12,293	DISTRICT 24	\$ 34,159	DISTRICT 25	\$ 15,210
DISTRICT 4	\$	10,938	DISTRICT 25	\$ 34,698	DISTRICT 26	\$ 17,522
DISTRICT 5	\$	10,116			DISTRICT 27	\$ 15,011
DISTRICT 6	\$	10,727			DISTRICT 28	\$ 12,639
DISTRICT 7	\$	12,202			DISTRICT 29	\$ 12,016
DISTRICT 8	\$	11,670			DISTRICT 30	\$ 12,593
DISTRICT 9	\$	11,962			DISTRICT 31	\$ 14,834
					DISTRICT 32	\$ 14,974
MAUI	\$	88,831			DISTRICT 33	\$ 15,082
KAUAI	\$	44,689			DISTRICT 34	\$ 15,595
					DISTRICT 35	\$ 14,077
PROSECUTING ATTORNEY					DISTRICT 36	\$ 16,415
HONOLULU	\$	534,559			DISTRICT 37	\$ 16,990
HAWAII	\$	103,538			DISTRICT 38	\$ 17,637
KAUAI	\$	44,689			DISTRICT 39	\$ 14,230
					DISTRICT 40	\$ 14,840
BOE					DISTRICT 41	\$ 13,353
DISTRICT 1 (OAHU)	\$	76,366			DISTRICT 42	\$ 11,039
DISTRICT 2	\$	33,866			DISTRICT 43	\$ 14,102
					DISTRICT 44	\$ 11,750
					DISTRICT 45	\$ 11,347
					DISTRICT 46	\$ 14,672
					DISTRICT 47	\$ 16,941
					DISTRICT 48	\$ 17,773
					DISTRICT 49	\$ 17,742
					DISTRICT 50	\$ 18,470
					DISTRICT 51	\$ 15,268

### **Minimum Qualifying Campaign Contributions**

In order to apply for public funds, the candidate must have received a minimum amount of qualifying campaign contributions. A qualifying campaign contribution is defined as an aggregate monetary contribution of \$100 or less, by any person during any matching payment period. Qualifying campaign contributions do not include loans or in-kind contributions.

The minimum qualifying campaign contribution amounts for each election for the respective offices are as follows:

Governor	\$1	100,000
Lieutenant Governor	\$	50,000
Mayor		
County of Honolulu	\$	50,000
County of Hawaii	\$	15,000
County of Maui	\$	10,000
County of Kauai	\$	5,000
Prosecuting Attorney		
County of Honolulu	\$	30,000
County of Hawaii	\$	10,000
County of Kauai	\$	5,000
County Council		
County of Honolulu	\$	5,000
County of Hawaii	\$	1,500
County of Maui	\$	5,000
County of Kauai	\$	3,000
State Senate	\$	
State House of Representative	\$	
Board of Education and All Other Offices	\$	500

For example, a candidate for Governor must receive a minimum of \$100,000 in qualifying campaign contributions for the primary election in order to be eligible for \$100,000 in public matching funds for the primary election. The same amount of qualifying campaign contributions must be raised for the general election. Once the minimum amount is reached, qualifying campaign contributions will be matched dollar for dollar up to the maximum eligible amount allowable for each election.

### **Maximum Qualifying Campaign Contributions**

To be eligible for the maximum amount of public funds, a candidate must receive qualifying campaign contributions up to the maximum amount specified for the respective office that the candidate is seeking.

• For the following offices, the maximum amount available is ten percent of the total expenditure limit for each election:

Governor; Lieutenant Governor; and Mayor

♦ For the following offices, the maximum amount available is fifteen percent of the total expenditure limit for each election:

Prosecuting Attorney;

County Council; State Senate; and State House of Representative

♦ For the Board of Education and all other offices, the maximum amount of public funds available is \$50 per election.

### **Matching Payment Period**

Qualifying contributions shall be attributed to a primary, special or general election, and for it to be considered a qualifying campaign contribution, the contribution must be received during the matching payment periods and after the filing of a Statement of Intent form. Matching payment periods are as follows:

- For a primary election, from January 1 of the year of a general election through the day of the primary election, or nine months prior to a special election through the day of a special election; and
- ♦ For a general election, from January 1 of the year of a general election through the day of the general election.

### CHAPTER II

### APPLICATION FOR and RECEIPT OF PUBLIC FUNDS

### Requirements Before an Application is Filed

- "Statement of Intent to Seek Qualifying Campaign Contributions" should have been filed prior to receiving any qualifying contributions, otherwise contributions received before the Statement of Intent is filed cannot be considered qualifying contributions;
- > "Affidavit of Compliance with Voluntary Campaign Expenditure Limits" should have been filed before or at the time nomination papers were filed; and
- > To be eligible to apply for public funds, a candidate and at least one other **qualified opponent** for the same elective seat must have their names on the election ballot in
  the election for which funds are sought. For purposes of qualifying for public funds, a
  primary, special and general election are considered distinct elections.

### Filing an Application

<u>Using the Hawaii Electronic Reporting and Tracking system ("HERTS") software program, electronically fill-out and file the following forms:</u>

- "Application for Public Funds" (Form CC-6), which needs to be signed by the candidate and notarized; and
- ➤ "Statement of Qualifying Campaign Contributions" (Form CC-6(A)), which is a list of qualifying campaign contributions received after the Statement of Intent has been filed. For the initial application, the aggregate of qualifying contributions should total at least the minimum amount for the respective office.

When completed, the application is then filed electronically with a hard copy being filed with the office of the Commission or the county clerk. Subsequent applications shall not be made more frequently than every fourteen-calendar days after the last application has been filed. The last day to file an application for public funds for the election period is thirty-days after the general election.

#### The Commission conducts a first stage audit upon receipt of the application:

- Application has been properly completed, signed and notarized;
- Verify that the candidate is on the ballot and there is a qualified opponent; and
- Contributions listed on the Statement of Qualifying Contributions form are eligible to be considered qualifying contributions.

### **APPLICATION FOR PUBLIC FUNDS**

1 0110000000000000000000000000000000000				
1. CANDIDATE AND CANDIDATE Co (a) Candidate Name:	OMMITTEE:	2. TYPE OF APPLICATION		ox)
(a) Candidate Name.		[ ] Initial Public Fund		
		Additional Public F	und Application	
(b) Committee Name:				
(c) Mailing Address:		3. MATCHING PAYMEN	IT PERIOD: (Check	c One Box)
		[ ] Primary/1st Special	Election	
(d) Phone: (Bus)	(Res)	[ ] General/2nd Special	l Election	
(e) Social Security Number:				
I		he	ereby certify to the	Campaign Spending
Commission that:		, 110	reby certify to the	campaign spending
	of Compliance With Volum	ntary Campaign Expenditure Li	mits" form to come	Jy with the
		n established for my respective	_	Ť
Revised Statutes ("HRS");	iture mini for each electio.	in established for my respective	office under Section	11 11-209, 11awaii
, , , , , , , , , , , , , , , , , , , ,	Of Intent To Seek Qualify	ing Campaign Contributions" fo	orm and have agree	d to all the conditions
setforth in that form;	of intent to beek quarry.	ing Campaign Contitoutions 10	Ann and have agree	u to all the conditions
3. I have qualified to be on the	e election hallot for the			
Primary/1st Special Election				
General/2nd Special Election				
4. I have received the minimum	•	ntribution amount of C	+	for my respective
office for this election as pr				of my respective
5. The total amount of qualify.				(Frator total from
the "Statement Of Qualifying				(Enter total from
		, ,	1.4. 4. 4b. b 4 . £	114
o. The information on this app	neadon and an attached to	orms are true, correct and comp	lete to the best of n	iy knowleage.
		Subscribed	and sworn to befor	e me
		this	day of	, 19
Candidate Signature	Date	Notary Pub	lic, State of Hawaii	i
		My commi	ssion expires:	
	FOR OF	FICE USE ONLY		
1 Total of Dallis Band C. CC.	A Annuary & Court Court	n in Daine Eit		
<ol> <li>Total of Public Funds Certified and</li> <li>Total of Public Funds Certified and</li> </ol>	**	J		
<ol> <li>Total of Public Funds Certified and</li> <li>Total of Public Funds Certified and</li> </ol>		-		
3. Total of Public Funds Certified and	1 Approved for Distribution	n inis Election	•••••	
Reviewed By	Date	Certified and Approved I	Зу	Date

Form CC-6 (Rev. 11/97)

### STATEMENT OF QUALIFYING CAMPAIGN CONTRIBUTIONS

NOTE: THE COMPLETED STATEMENT OF QUALIFYING CAMPAIGN CONTRIBUTIONS FORM(S) SHOULD BE ATTACHED AND SUBMITTED WITH THE APPLICATION FOR PUBLIC FUNDS.

NO INFORMATION OR COPIES FROM THE REPORTS SHALL BE SOLD OR USED BY ANY PERSON FOR THE PURPOSE OF SOLICITING CONTRIBUTIONS OR FOR ANY COMMERCIAL PURPOSE.

SIDATE AND CANE	DIDATE COMMITTEE NAME:	\GE	O	F
NATE OF	FULL NAME, STREET ADDRESS, CITY, STATE AND ZIPCODE OF DONOR  TELEPHONE NUMBER OF DONOR (REQUIRED FOR PRE-AUDIT PURPOSES)		AMOUNT OF QUALIFYING CAMPAIGN CONTRIBUTION	AGGREGATE QUALIFYING CAMPAIGN CONTRIBUTION
	(ELECTIONE NOMBER OF BONON (NEWDORLD FOR FRE-AUDIT FORFOSES)		THIS FILING	TOTAL THIS ELECT
,				
			1.0	
IRTOTAL OF OUR	LIEVING CAMPAIGN CONTRIBUTIONS THE STILL	-		
	LIFYING CAMPAIGN CONTRIBUTIONS THIS FILING (THIS PAGE)			
TAL OF QUALIFY	ING CAMPAIGN CONTRIBUTIONS THIS FILING (LAST PAGE THIS LINE ONLY) (ENTER TH	IS TOTAL ON TH	E APPLICATION	

Approved applications are then processed and funds are distributed within twenty days from the date that the candidate's initial application was filed. Subsequent applications filed, funds are distributed within two weeks from the date the application is filed.

### **Receipt of Public Funds**

- > Public funds received shall be promptly deposited in a financial institution designated to do business in Hawaii.
- > Public fund expenditures shall only be made on checks drawn from the account of that financial institution.

### CHAPTER III

### REPORTING OF PUBLIC FUNDS

Candidates that receive public funds shall maintain complete records of all public funds used to pay for authorized campaign expenses. Public funds received during a primary, special or general election must be used for expenses that were incurred during the election period for which the funds were received. Funds received during a primary or special primary election may not be used for expenses incurred during a general election period, and any unused funds for a primary, special or general election shall be returned to the Commission for deposit into the Hawaii election campaign fund.

An "Expenditure of Public Funds Report" (Form CC-7) must be electronically filed:

- No later than 20 days after a Primary/1<sup>st</sup> Special Election with evidence that all public funds have been utilized properly; and
- ♦ No later than 30 days after a General/2<sup>nd</sup> Special Election with evidence that all public funds have been utilized properly.

A hard copy of an electronically filed report need not be submitted, but copies of all vendor invoices and cancelled checks/bank statements must be filed with the office of the Commission within ten days of having filed the Expenditure of Public Funds report.

### **Authorized Campaign Expenses of Public Funds**

Candidates are authorized to use public funds only for the following campaign expenses:

- Candidate filing fees;
- Television or radio broadcasting "on-air". Broadcast costs shall include any costs related to the productions of a radio spot, video or television advertisement;
- Newspaper advertising, mail-outs, bumper stickers and signs;
- Sundry items such as pens, pencils, magnets and stickers;
- Printing costs but not to include the purchase of shirts, hats or other articles of clothing;
- ♦ Postage; and
- To repay a loan if the proceeds of the loan were used to pay for authorized campaign expenses as provided above.

### **EXPENDITURES OF PUBLIC FUNDS REPORT**

			PAGE	· · · · · · · · · · · · · · · · · · ·			
NO INFORMATION OR C	COPIES FROM THE REPORTS SHALL BE SOLD OR USED BY ANY PERSON	FOR THE PURPO	SE OF SOLICITING CONTRIBUTIONS OR FOR ANY COMM	MERCIAL PURPOSE.			
	AND CANDIDATE COMMITTEE:		E OF REPORT:				
(a) Candidate Nan	ne:		One Box)				
		[ ] Prin 	mary/1st Special Election				
(b) Committee Na		[] Ger	neral/2nd Special Election				
(c) Mailing Addres	ss:						
		Amount of Public Funds Received This Election					
(d) Phone: (Bus)	(Res)	_ \$					
DATE OF				AMOUNT OF PUBLIC FUND			
PUBLIC FUND EXPENDITURE	FULL NAME, STREET ADDRESS, CITY, STATE AND ZIPCODE OF	VENDOR	PURPOSE OF PUBLIC FUND EXPENDITURE	EXPENDITURE THIS ELECTION			
			· · · · · · · · · · · · · · · · · · ·				
				<b>→</b>			
1. SUBTOTAL OF	F PUBLIC FUND EXPENDITURES THIS ELECTION (THIS PA	AGE)					
2. TOTAL OF PU	BLIC FUND EXPENDITURES THIS ELECTION (LAST PAGE T	HIS LINE ONLY).		•			
I hereby certify that	at the information on this report is true, correct and con	nplete to the l	best of my knowledge.				
Candidate Signatu	re Date	Treasure	r Signature	Date			

Form CC-7 (Rev. 11/97)

### CHAPTER IV PENALTIES, EXAMINATION and AUDIT

#### **Penalties**

- A candidate who exceeds the expenditure limit becomes ineligible to apply for public funds, and if a candidate has received any public funds, all funds received shall be returned to the Commission for deposit into the Hawaii election campaign fund.
- ◆ A Commission determination that any part of public funds received has been used for noncampaign or improper expenses shall:
  - be reported to the attorney general;
  - order the candidate to return all or part of public funds received; and
  - result in a fine of up to three hundred percent of the amount determined to be spent improperly.
- ◆ A conviction of a violation of any provision of the campaign spending law shall result in the return of the full amount of public funds received by the candidate.

### **Examination and Audit**

Within sixty days after the general election, the Commission will conduct a full audit of all public funds received by the candidate, including campaign contributions used for purposes of qualifying for public funds and campaign expenses.